

GM 1745

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Koji Moriguchi et al

ATTY DOCKET NO.: P99,0653

SERIAL NO: 09/292,834

GROUP ART UNIT: 1745

E.H. 10/21/99

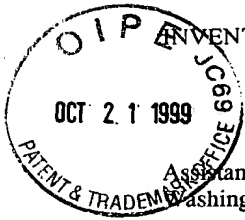
FILED: April 16, 1999

EXAMINER: Unknown

#6

INVENTION: "GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE MATERIAL OF LITHIUM ION SECONDARY BATTERY"

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER



Assistant Commissioner for Patents
Washington, D.C. 20231

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ [X] Within three months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ [] After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ [] Payment of the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ [] The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ [] After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☒ [X] The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ [] The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the statement.
- ☐ [] A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 08-2290. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

HILL & SIMPSON
A Professional Corporation

(Reg. No. 24,149)

When phoning re this application,
please call (312) 876-0200.

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, October 19, 1999.

JAMES D. HOBART

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Koji Moriguchi et al

SERIAL NO.: 09/292,834 GROUP ART UNIT NO.: 1745

FILED: April 16, 1999 EXAMINER: Unknown

FOR: "GRAPHITE POWDERS SUITED FOR NEGATIVE ELECTRODE
MATERIAL OF LITHIUM ION SECONDARY BATTERY"

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

S I R:

I. INTRODUCTION

In accordance with the provisions of 37 C.F.R. §1.56, Applicants respectfully request that the citation and examination of the documents identified on the attached PTO-1449 be made during the course of examination of the above-identified application. By citing the following documents, Applicants do not admit that the documents are prior art and reserve the right to, if possible, antedate or otherwise demonstrate that the documents are not prior art.

II. DOCUMENTS

NON-U.S. DOCUMENTS

European Patent Application No. 0 762 522 A1, published March 12, 1997
Patent Abstracts of Japan, Vol. 098, No. 002, (1998) and JP 09 259886 A (1997)
Patent Abstracts of Japan, Vol. 095, No. 011, (1995) and JP 07 223809 A (1995)

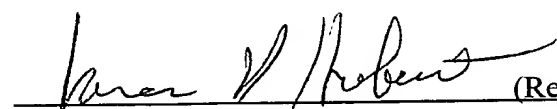
III. REMARKS

This Information Disclosure Statement is being submitted within three months of a communication from a foreign Patent Office in a counterpart foreign application and therefore is timely. A copy of the Communication is enclosed for the convenience of the Examiner.

A copy of each of the above-identified documents are enclosed herewith.

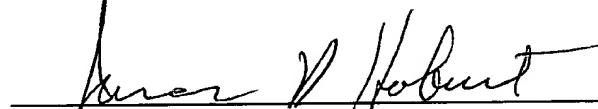
Applicants respectfully request early and favorable consideration of this application.

Respectfully submitted,


(Reg. No. 24,149)
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ATTORNEYS FOR APPLICANTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence for Serial No. 09/292,834 is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on October 19, 1999.


James D. Hobart